

<b>OMB APPROVAL</b>	
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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP**

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Chang Hung-Lun (Fred)</u>  (Last) (First) (Middle) <u>C/O APPLIED OPTOELECTRONICS, INC.</u> <u>13139 JESS PIRTLE BLVD.</u>  (Street) <u>SUGAR LAND TX 77478</u>  (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>APPLIED OPTOELECTRONICS, INC. [ AAOI ]</u>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) *** See Remarks
	3. Date of Earliest Transaction (Month/Day/Year) <u>07/17/2017</u>	
4. If Amendment, Date of Original Filed (Month/Day/Year)		

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock, \$.001 par value	07/17/2017		M		1,310	A	\$9.96	46,596	D	
Common Stock, \$.001 par value	07/17/2017		F <sup>(1)</sup>		224	D	\$84.2	46,372	D	
Common Stock, \$.001 par value	07/17/2017		M		3,300	A	\$13.84	49,672	D	
Common Stock, \$.001 par value	07/17/2017		F <sup>(2)</sup>		766	D	\$84.2	48,906	D	

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date						Title
Incentive Stock Option (Right to Buy)	\$9.96	07/17/2017		M			1,310	(3)	09/26/2023	Common Stock, \$0.001 par value	1,310	\$0	1,125	D	
Incentive Stock Option (Right to Buy)	\$13.84	07/17/2017		M			3,300	(4)	01/28/2024	Common Stock, \$0.001 par value	3,300	\$0	1,100	D	

**Explanation of Responses:**

- Represents a "net exercise" of outstanding stock options. The reporting person received 1,086 shares of common stock on net exercise of option to purchase 1,310 shares of common stock. The Company withheld 224 shares of common stock underlying the option for payment of the exercise price and tax withholdings, using the closing stock price.
- Represents a "net exercise" of outstanding stock options. The reporting person received 2,534 shares of common stock on net exercise of option to purchase 3,300 shares of common stock. The Company withheld 766 shares of common stock underlying the option for payment of the exercise price and tax withholdings, using the closing stock price.
- The stock option grant vested as to 1/4th of the total number of shares on September 26, 2014 and thereafter vested and shall continue to vest as to 1/6th of the remainder of the shares in equal semiannual installments until all shares have vested.
- The stock option grant vested as to 1/4th of the total number of shares on January 28, 2015 and thereafter vested and shall continue to vest as to 1/6th of the remainder of the shares in equal semiannual installments until all shares have vested.

**Remarks:**

\*\*\* Senior Vice President of Optical Component Business Unit

/s/ David C. Kuo, attorney in fact for Hung-Lun (Fred) Chang      07/19/2017

\*\* Signature of Reporting Person      Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.