# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **SCHEDULE 14A**

Proxy Statement Pursuant to Section 14(a) of the Securities Exchange Act of 1934 (Amendment No. )

Filed by the Registrant ⊠				
Filed l	оу а Ра	arty other than the Registrant o		
Check □ □ □ □ □ □	Preli: Conf Defii Defii	propriate box: minary Proxy Statement fidential, for Use of the Commission Only (as permitted by Rule 14a-6(e)(2)) mitive Proxy Statement mitive Additional Materials mitive Additional under §240.14a-12		
		APPLIED OPTOELECTRONICS, INC.		
		(Name of Registrant as Specified In Its Charter)		
		(Name of Person(s) Filing Proxy Statement, if other than the Registrant)		
Payme ⊠ □	No fe	Filing Fee (Check the appropriate box): see required. computed on table below per Exchange Act Rules 14a-6(i)(1) and 0-11. Title of each class of securities to which transaction applies:		
	(2)	Aggregate number of securities to which transaction applies:		
	(3)	Per unit price or other underlying value of transaction computed pursuant to Exchange Act Rule 0-11 (set forth the amount on which the filing fee is calculated and state how it was determined):		
	(4)	Proposed maximum aggregate value of transaction:		
	(5)	Total fee paid:		
	Chec	baid previously with preliminary materials.  It is any part of the fee is offset as provided by Exchange Act Rule 0-11(a)(2) and identify the filing for which the offsetting fee was paid ously. Identify the previous filing by registration statement number, or the Form or Schedule and the date of its filing.  Amount Previously Paid:		
	(2)	Form, Schedule or Registration Statement No.:		
	(3)	Filing Party:		
	(4)	Date Filed:		



# Your Vote Counts!

#### APPLIED OPTOELECTRONICS, INC.

2021 Annual Meeting Vote by June 02, 2021 11:59 PM ET





APPLIED OPTOELECTRONICS, INC. ATTN: LEGAL DEPARTMENT 13139 JESS PIRTLE BLVD. SUGAR LAND. TX 77478

Ricky Campana P.O. Box 123456 Suite 500 51 Mercedes Way Edgewood, NY 11717

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You invested in APPLIED OPTOELECTRONICS, INC. and it's time to vote!

You have the right to vote on proposals being presented at the Annual Meeting. This is an important notice regarding the availability of proxy material for the stockholder meeting to be held on June 03, 2021.

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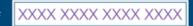
## Get informed before you vote

View the Notice & Proxy Statement, Annual Report online OR you can receive a free paper or email copy of the material(s) by requesting prior to May 20, 2021. If you would like to request a copy of the material(s) for this and/or future stockholder meetings, you may (1) visit www.ProxyVote.com, (2) call 1-800-579-1639 or (3) send an email to sendmaterial@proxyvote.com. If sending an email, please include your control number (indicated below) in the subject line. Unless requested, you will not otherwise receive a paper or email copy.



For complete information and to vote, visit www.ProxyVote.com

Control #





#### **Smartphone users**

Point your camera here and vote without entering a control number



Vote in Person at the Meeting\*
June 03, 2021

9:30 AM CDT

13139 Jess Pirtle Blvd. Sugar Land, TX 77478

<sup>\*</sup>Please check the meeting materials for any special requirements for meeting attendance. At the meeting, you will need to request a ballot to vote these shares.

#### THIS IS NOT A VOTABLE BALLOT

This is an overview of the proposals being presented at the upcoming stockholder meeting. Please follow the instructions on the reverse side to vote these important matters.

#### SHARE CLASSES REPRESENTED FOR VOTING

THE COMPANY NAME INC COMMON ASDFGHJKL	123456789.1234
THE COMPANY NAME INC CLASS A	123456789.1234
THE COMPANY NAME INC CLASS B	123456789.1234
THE COMPANY NAME INC CLASS C	123456789.1234
THE COMPANY NAME INC CLASS D	123456789.1234
THE COMPANY NAME INC CLASS E	123456789.1234
THE COMPANY NAME INC CLASS F	123456789.1234
THE COMPANY NAME INC 401 K	123456789.1234

Voting Items					
1.	Class II Directors for Election:				
	Nominees:	<b>⊘</b> For			
	01) William H. Yeh 02) Cynthia (Cindy) DeLaney				
2.	To ratify the appointment of Grant Thornton LLP as our independent registered public accounting firm for the fiscal year ending December 31, 2021.	<b>⊘</b> For			
3.	To approve, on an advisory basis, our executive compensation, or the say-on-pay vote.	<b>⊘</b> For			
4.	To approve the 2021 equity incentive plan.	<b>⊘</b> For			
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**NOTE:** In their discretion, the Proxies are authorized to vote upon such other business as may properly come before the meeting or any adjournment or postponement of the meeting.

Prefer to receive an email instead? While voting on www.ProxyVote.com, be sure to click "Sign up for E-delivery".