

Form 144

FORM 144

NOTICE OF PROPOSED SALE OF SECURITIES
PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

144: Filer Information

Filer CIK 0001587600
Filer CCC XXXXXXXXX
Is this a LIVE or TEST Filing? LIVE TEST

Submission Contact Information

Name
Phone
E-Mail Address

144: Issuer Information

Name of Issuer Applied Optoelectronics, Inc.
SEC File Number 001-36083

Address of Issuer 13139 Jess Pirtle Blvd.
Sugar Land
TEXAS
77478

Phone 281-295-1800

Name of Person for Whose Account the Securities are To Be Sold Shu-Hua (Joshua) Yeh

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

Relationship to Issuer SVP and Asia General Manager

144: Securities Information

Title of the Class of Securities To Be Sold	Name and Address of the Broker	Number of Shares or Other Units To Be Sold	Aggregate Market Value	Number of Shares or Other Units Outstanding	Approximate Date of Sale	Name the Securities Exchange
Common	Raymond James 880 Carillon Parkway St. Petersburg FL 33716	45000	544310.00	32131562	08/09/2023	NASDAQ

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

144: Securities To Be Sold

Title of the Class	Date you Acquired	Nature of Acquisition Transaction	Name of Person from	Is this	Date Donor Acquired	Amount of Securities Acquired	Date of Payment	Nature of Payment *
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			Whom Acquired	a Gift?		
Common	01/18/2017	Non-Qualified Stock Option Exercised	Issuer	<input type="checkbox"/>	1334	01/18/2017 Services
Common	05/17/2005	Purchase Agreement	Issuer	<input type="checkbox"/>	11408	05/17/2005 Cash
Common	02/01/2016	Restricted Stock Award Vesting	Issuer	<input type="checkbox"/>	1750	02/01/2016 Services
Common	08/01/2016	Restricted Stock Award Vesting	Issuer	<input type="checkbox"/>	875	08/01/2016 Services
Common	08/05/2016	Restricted Stock Award Vesting	Issuer	<input type="checkbox"/>	3500	08/05/2016 Services
Common	01/31/2017	Restricted Stock Award Vesting	Issuer	<input type="checkbox"/>	875	01/31/2017 Services
Common	02/06/2017	Restricted Stock Award Vesting	Issuer	<input type="checkbox"/>	3500	02/06/2017 Services
Common	07/25/2017	Restricted Stock Unit Vesting	Issuer	<input type="checkbox"/>	2799	07/25/2017 Services
Common	08/01/2017	Restricted Stock Award Vesting	Issuer	<input type="checkbox"/>	699	08/01/2017 Services
Common	08/08/2017	Restricted Stock Award Vesting	Issuer	<input type="checkbox"/>	2799	08/08/2017 Services
Common	10/24/2017	Restricted Stock Unit Vesting	Issuer	<input type="checkbox"/>	1049	10/24/2017 Services
Common	11/01/2017	Restricted Stock Award Vesting	Issuer	<input type="checkbox"/>	261	11/01/2017 Services
Common	11/07/2017	Restricted Stock Award Vesting	Issuer	<input type="checkbox"/>	1049	11/07/2017 Services
Common	01/23/2018	Restricted Stock Unit Vesting	Issuer	<input type="checkbox"/>	1049	01/23/2018 Services
Common	02/01/2018	Restricted Stock Award Vesting	Issuer	<input type="checkbox"/>	262	02/01/2018 Services
Common	02/06/2018	Restricted Stock Award Vesting	Issuer	<input type="checkbox"/>	1049	02/06/2018 Services
Common	04/24/2018	Restricted Stock Unit Vesting	Issuer	<input type="checkbox"/>	1049	04/24/2018 Services
Common	04/24/2018	Restricted Stock Unit Vesting	Issuer	<input type="checkbox"/>	896	04/24/2018 Services
Common	05/02/2018	Restricted Stock Award Vesting	Issuer	<input type="checkbox"/>	261	05/02/2018 Services
Common	05/08/2018	Restricted Stock Award Vesting	Issuer	<input type="checkbox"/>	1049	05/08/2018 Services
Common	07/24/2018	Restricted Stock Unit Vesting	Issuer	<input type="checkbox"/>	1049	07/24/2018 Services
Common	07/24/2018	Restricted Stock Unit Vesting	Issuer	<input type="checkbox"/>	896	07/24/2018 Services
Common	08/01/2018	Restricted Stock Award Vesting	Issuer	<input type="checkbox"/>	262	08/01/2018 Services
Common	08/07/2018	Restricted Stock Award Vesting	Issuer	<input type="checkbox"/>	1049	08/07/2018 Services
Common	10/23/2018	Restricted Stock Unit Vesting	Issuer	<input type="checkbox"/>	1049	10/23/2018 Services
Common	10/23/2018	Restricted Stock Unit Vesting	Issuer	<input type="checkbox"/>	896	10/23/2018 Services
Common	11/01/2018	Restricted Stock Award Vesting	Issuer	<input type="checkbox"/>	261	11/01/2018 Services
Common	11/05/2018	Restricted Stock Award Vesting	Issuer	<input type="checkbox"/>	1049	11/05/2018 Services
Common	01/23/2019	Restricted Stock Unit Vesting	Issuer	<input type="checkbox"/>	976	01/23/2019 Services

* If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

144: Securities Sold During The Past 3 Months

Nothing to Report

144: Remarks and Signature

Remarks

Date of Notice

08/09/2023

ATTENTION:

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

Signature

/s/ Shu-Hua (Joshua) Yeh

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)